



CONDITIONS OF PARTICIPATION AND IMPORTANT RECOMMENDATIONS FOR FRENCH PARTICIPANTS

IMPORTANT:

1. This document sets out the conditions of participation of French partners in the joint Pan-European call for proposals **FACCE SURPLUS/Facce-JPI**

"Sustainable and resilient agriculture for food and non-food systems"

- 2. The conditions of participation, including the criteria of acceptability, and important recommendations presented herein, come in addition to the provisions figuring in the call for proposals text: http://www.faccejpi.com
- It is important to read carefully the call for proposals text, the present document in its entirety, and the regulations concerning the conditions of allocation of ANR funding (<u>http://www.agence-nationale-recherche.fr/RF</u>) before submitting a research project.

Submission deadline for pre-proposals 04/03/2014 14:00 CET

Submission deadline for proposals 01/09/2015, 14:00 CET

ANR contact points

ANR Project Manager Anne-Laure Quettier +33 1 73 54 81 55 anne-laure.quettier@agencerecherche.fr

ANR Programme Director Maurice Heral +33 1 78 09 80 33 maurice.heral@agencerecherche.fr

ACCEPTABILITY - ELIGIBILITY CRITERIA

- 1) The administrative and financial information concerning the French partners must be completed through an Electronic Proposal Submission System (EPSS) by the pre-registration closing date: <u>www.submission-faccejpi.com</u>
- 2) The French part of the project proposal must not be judged similar¹ to a project that is already financed by the ANR.
- 3) The French part of the project proposal must not be considered to infringe an intellectual property right characterizing a counterfeit within the meaning of intellectual property.
- Composition of the consortium²: the consortium must include at least one French partner in the research organization category (university, EPST – Scientific or Technical Public Institution, EPIC – Industrial or Commercial Public Institution).

IMPORTANT RECOMMENDATIONS

The following recommendations are provided to help prepare the project proposals in the context of this call for proposals.

Recommendations concerning the involvement of French personnel

- The French project coordinator should devote at least 30% of his/her research time to the project³.
- The total (in person months) of the non-permanent personnel (postdocs, fixed-term contracts, temporary workers) receiving ANR funding should not exceed 30% of the total (in person months) of the staff (permanent and non-permanent personnel) of the French partners assigned to the project.
- The financing duration for each post-doctoral participant should not be less than 12 months.

Recommendation concerning scientific and technical culture and communication actions

Actions relating to scientific and technical culture and communication are eligible for ANR funding. They must be clearly linked to the project and have an ambitious target impact, specifying the specific audiences (e.g.: the media, youth, working populations, teaching

¹ A project proposal will be judged similar to another if its principal objectives are the same, or results from a simple adaptation to the terms of the call for proposals **AND** the composition of the consortium is largely identical.

² See definitions relative to project organization and structures on ANR website http://www.agence-nationale-recherche.fr/fileadmin/aap/2014/pa-anr-2014-aap-generique-guide-deposants.pdf

³ **Calculation of research time**: the evaluation of the time devoted to the project is based on the time devoted to research (taken to be 100%). Accordingly, a lecturer-researcher (or a member of an enterprise's personnel responsible for activities other than research) who devotes all his research time to a project for one year will be considered as a participant at 12 person.months. However, to calculate the total cost, his salary will be counted as equal to his actual full time (e.g. 50% of a lecturer-researcher's salary).

professionals, etc.). It is recommended to associate scientific communication/mediation professionals in these actions for the conceptual design of the project (communication departments of research organizations and companies, scientific cultural operators, etc.). The budget devoted to these tasks should not exceed 10% of the requested funding. These actions must form the subject of a clearly identified project task. They shall be evaluated as a factor in the overall impact of the project.

Recommendation concerning actions in favour of higher education

The contribution of a project to the content of higher education courses can enhance its impact. Supporting the integration of current research themes in teaching programmes is a notable example. The projects funded by the ANR can integrate this type of initiative in their work programme. The proposed actions in favour of higher education must have a direct link with the content of the project. The actions can be diverse (construction of websites, design and development of original teaching tools based on research material, teaching conference cycles, etc.). The budget devoted to these tasks should not exceed 10% of the requested ANR funding. These actions incorporated in the research project shall be evaluated as a factor in the overall impact of the project.

PARTICULAR FUNDING PROVISIONS

This section complements the general provisions stated previously. On completion of the selection process, the ANR will not take into account for a selected project proposal a forecast expense that does not satisfy the following conditions.

Recruiting doctoral students

Doctoral students can be funded by the ANR. The financing of doctoral students by the ANR does not mean that the Graduate School has approved the work.

GENERAL PROVISIONS AND DEFINITIONS

ANR Funding

Type of funding

The funds allocated by the ANR to each French partner will be provided as a non-reimbursable grant in accordance with the provisions of the "Regulations relative to conditions of allocating ANR funds", which can be consulted on the ANR website.

IMPORTANT The funding allocated by the ANR to one project is set at a maximum of **200 000** €(all partners included)

ANR funding is limited to project partners residing in France, the associated international laboratories (LIA) of French research organizations and higher education and research institutions, and French institutions established abroad.

Conditions of funding of companies

IMPORTANT

The European Community Framework of State aids to companies places a number of conditions on the allocation of ANR funds to companies. If these conditions are not fulfilled by a company participating in a selected project, the ANR will not allocate funding to that company. General rules for funding companies are available on ANR website.

Regulatory and contractual obligations

Financial regulations

The conditions of execution and financing of the research projects selected and funded by ANR on completion of the selection process shall be defined in the financial regulations comprising general conditions available on the ANR website⁴, and particular conditions.

Consortium agreement

Under the supervision of the project coordinator, the partners must conclude an agreement specifying in particular:

- the sharing of the intellectual property rights of the project results;
- the conditions of publication / dissemination of the results;
- the technology transfer and the exploitation of the project results.

The French project partners will send a copy of this agreement to the ANR. <u>A Consortium</u> Agreement will need to be in place and reported to ANR before any payment can be made.

These agreements shall also enable the existence of any indirect financial support entering into the calculation of the maximum level of funding authorized under the European Community Framework for State aid for research, development and innovation (referred to hereinafter as the "EU Community Framework") to be determined.

It will be assumed that there is no indirect support if at least one of the following conditions is satisfied:

- the participating companies bear the totality of the research project costs;
- the results that do not give rise to intellectual property rights can be widely disseminated, and the research organization holds all the intellectual property rights, if any, resulting from its RDI activity;
- the research organization receives from the participating companies payment equivalent to the market price for the intellectual property rights resulting from the activities it carried out in the project and which are transferred to the participating companies. Any contribution of the participating companies to the research organization's expenses must be deducted from the said payment.

⁴ To be consulted on page: http://www.agence-nationale-recherche.fr/RF

Scientific follow-up of the projects

The scientific aspects of the funded projects shall be monitored by the ANR, in addition to the call for proposals follow-up activities (annual activity report, review meetings, collection of project impact information), during project execution and until one year after their completion. The intermediate progress reports provided under the call for proposals substitute for the reports usually requested by the ANR. The specific scientific follow-up by the ANR comprises:

- Supply of up-to-date summaries of the project objectives, work and results, intended for the ANR publications on all media,

- Participation in the seminars organized by the ANR (one or two participations). The project proposals shall include the corresponding work load in their work programme.

Moral responsibility

The funding of a project by the ANR does not relieve the French project partners of their obligations concerning the regulations and code of ethics and professional conduct applicable to their area of activity. The French partners undertake to keep the ANR informed of any change likely to modify the content, the partnership or the schedule of project performance between the time of project submission and publication of the list of selected projects. All the French partners undertake to follow the good research practices described in the code of ethics of the ANR project players available on the ANR website, equally well during the preparation of their submitted research project proposal as in its implementation if it is selected and funded by the ANR.

Performance of ad-hoc reviews for the ANR

The scientific and technical leader of each French partner of the submitted project proposals may be invited by the ANR to perform ad-hoc reviews in the context of other calls for proposals and/or programmes. They undertake to examine such requests with diligence.

French research tax credit

The expenses incurred by companies to finance research work may be eligible for the research tax credit (see article 244 quater B of the general tax code). The research tax credit (called CIR in French) for projects chosen by the ANR may be allocated to companies in addition to the grant on the basis of the part of the research budget that is not covered by ANR funding.

For private companies, it is recommended to read <u>http://www.agence-nationale-recherche.fr/CIR</u>

Definitions relative to the different research categories

For each project a single coordinator is designated and each of the other partners designates a scientific and technical leader.

Coordinator: person responsible for the scientific and technical coordination of the project, the setting up and formalizing of the collaboration between the partners, production of the project deliverables, holding of the progress meetings and communication of the results.

French partner: unit of a research organization, company or another legal person.

Scientific and technical leader: person responsible for production of the deliverables for each partner. The scientific and technical leader is the coordinator's chief contact.

Research organization / company partnership project: research project for which at least one of the partners is a company and at least one of the partners belongs to a research organisation.

Documents relative to the submission of project proposals

- Instructions for writing the scientific document and proposing a document template are available on the website page devoted to the call for proposals (<u>http://www.submission-faccejpi.com</u>)
- The link to the submission website is available on the call for proposals website page <u>http://www.submission-faccejpi.com</u> and <u>http://www.agence-nationale-recherche.fr</u>.
- The code of ethics of the ANR project players describes the good practices in terms of ethics and professional conduct to be applied by all the players involved in the research projects submitted to and financed by the ANR to guarantee the endpurposes of the work, the respect of partners, people, animals, the environment and the studied objects:
- <u>http://www.agence-nationale-recherche.fr/CharteDeontologieSoumission</u>.